ESA BIC Application Template – 01 – Cover Letter and Requirement Checklist, v5.2, 07/12/2023

ESA BIC North-Rhine Westphalia - Issue B, 12/03/2024

[Please insert information as requested and remove all blue text including brackets “[“ and “]” before submitting the document. In the requirements checklists, ensure that the word "compliant” is inserted at relevant places and remove it in case of not being compliant.]

[Sender: Name] [company logo, if available]

[Company (if already founded)]

[Address]

[E-Mail]

[Web Site (if available)]

SUBJECT: Application to ESA BIC North Rhine-Westphalia

REF: [Please insert your own created reference number here]

Dear Madam, dear Sir,

In response to the Permanent Open Call for Proposals for Business Incubation issued by AZO Anwendungszentrum GmbH Oberpfaffenhofen and EurA AG (ESA BIC NRW), we are pleased to submit **our proposal** to host [insert name of existing company or company to be founded] in ESA BIC North Rhine-Westphalia. Our ESA BIC location of choice is

Aachen

Herten

Please find attached hereto the following documents:

* Business Plan
* Incubation Proposal

1. The Application is **compliant with the Requirements** outlined in the Requirement Checklists attached to this document.

2. The **contract conditions have been read, understood, and accepted**.

Any queries relevant to the proposal are to be addressed to the attention of:

[Name(s)]

[Address(es)]

[Phone]

[E-Mail]

Contact information of the person(s) who will be in charge of the day-to-day management:

[Name(s)]

[Address(es)]

[Phone]

[E-Mail]

Contact information of the legal representative who will be in charge of signing the contract:

[Name(s), representing a majority of company shares in the (intended) company]

[Address(es)]

[Phone]

[E-Mail]

**Signature(s): Date:** [insert date of submission]

[Insert Sender(s) Name(s), Title(s), and Signature(s), representing a majority of shares in the (intended) company, as per Chamber of Commerce registration form or similarly]

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# Requirements ChecklistS

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| **ESA General Application Requirements** | **Compliance statement** |
| **Choose section (a) or (b), depending on company status** |  |
| 1. In case the Applicant is a legal entity |  |
| The Applicant’s first registration at a chamber of commerce, or equivalent, has taken place no longer than 5 years prior to submission of Applicant’s proposal. [attach the Chamber of Commerce Registration Form, or equivalent, if available] | [compliant] |
| More than half of the shares are owned – directly or indirectly – by the authorized representatives applying on behalf of the Applicant.  Mark as applicable:  [ ] The Applicant has legal personality  [ ] The Applicant is a legal entity without legal personality | [compliant] |
| The company must fall into the EU definition of SME (European Commission Recommendation 2003/361) | [compliant] |
| **OR** |  |
| 1. In case the applicant is not yet a legal entity |  |
| The Applicant shall register with a German chamber of commerce as a German legal entity before signing the ESA BIC NRW incubation contract. | [compliant] |
| More than half of the shares of the new company will be owned by the Applicant. | [compliant] |
|  |  |
| **The following requirements apply to all applicants** |  |
| Please provide the names of the owners and the (intended) related shareholding:   1. [Full Name] (\_\_%) 2. [Full Name] (\_\_%) 3. [Full Name] (\_\_%) 4. [Full Name] (\_\_%)   [add lines if needed]  If voting shares are different from ownership shares, add information above. |  |
| The Applicant’s product or service is based on a transfer of space technology to, and/or utilisation of a space system in a non-space environment (spin-off), or exploitation of non-space technology in the space market (spin-in). | [compliant] |
| The Applicant sells and delivers innovative products, processes or services for his own account and risk. | [compliant] |
| The Applicant does not conduct business activities promoting, or being related to, alcohol, tobacco, religion, politics, intolerance, violence, firearms or other weapons, pornography, obscenity, gambling, or illegal drugs. | [compliant] |
| The Applicant will not provide consultancy as main business activity. | [compliant] |
| The Applicant is able to communicate in English. | [compliant] |
| The Applicant acknowledges the State Aid restrictions applicable in the European Union and will inform of any financial support received before and during the execution of the incubation contract. | [compliant] |
| The Applicant states that the terms and conditions of the draft incubation contract are accepted without any reservations.[[1]](#footnote-1) | [compliant] |
| The Applicant states that all the key personnel under the incubation contract have all the relevant working permits at the start of the incubation. | [compliant] |
| The Applicant is not and undertakes not to be hosted in another business incubator (including any other ESA BIC), or entity or organisation providing similar support, for the duration of the incubation contract. (Participation in complementary programmes may be agreed upon on a case-by-case basis). | [compliant] |
| The Funding is linked to work packages within the incubation project only. | [compliant] |
| The Funding is granted in net amounts (not including VAT). | [compliant] |
| The Applicant understands that information provided in the application and in the supplementary data sheet is binding and forms the basis of the funding granted. Any changes in this information must be reported immediately and are subject to approval. | [compliant] |
| In case the Applicant has applied to an ESA BIC before, the Applicant has informed ESA BIC North Rhine-Westphalia about this in advance of the application. Provide details here and in the attachment (resubmission summary):  [Which ESA BIC], [When application took place], [Name of proposal] **OR** [N/A] | [compliant] |
| The Applicant(s) shall provide a copy of an official identity document (passport or similar) with this application for each person signing the application. If applicable, a copy of relevant working permits and VISAs shall also be provided. (Please provide all such copies in one separate file, not as part of the application text documents.) | [compliant] |

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| **ESA BIC North Rhine-Westphalia** **Specific Application Requirements** | **Compliance statement** |
| Company headquarters have to be located in North Rhine-Westphalia. | [compliant] |
| The Applicant has to comply with the following conditions for the local part of the incentive, provided by Project Management Jülich (PtJ):   * The company must be founded before the ESA BIC contracts are signed. * At least 50% of the shareholders must be resident or established in the EU (for non EU citizens provide proof of a residence permit). A residency in NRW is strongly recommended. * The company in question must be a small or midsize enterprise (SME). * Companies that are not considered SMEs within the meaning of the EU definition shall not own more than 24,9% of the company filing the application * ANBest-P The General Supplementary Provisions on Project Subsidies (Allgemeine Nebenbestimmungen für Zuwendungen zur Projektförderung, ANBest-P) from 1 March 2021 constitute an essential component of the approval notification process. * Subsidies may not be transferred to third parties. * The company is not "a firm in difficulty". * The company must be eligible under the de minimis criteria. * The total amount of de minimis aid (such as subsidies, holdings, loans, and bonds) may not exceed €300,000 over a period of three years.   *The Ministry of Economic Affairs, Innovation, Digitalisation and Energy of the State of North Rhine-Westphalia (MWIDE)* *or also Project Management Jülich (PtJ) reserves the right to make changes to the conditions, and addenda if required for the execution of its overall plan.* | [compliant] |
| The Applicant has been in dialogue with ESA BIC North Rhine-Westphalia prior to submitting the application. | [compliant] |

By signing the Cover Letter, to which these Requirement Checklists are attached, I declare that my application is compliant to the General and the Specific Application Requirements or that I shall undertake all necessary actions to ensure the compliance to same.

**DATA PROTECTION**

In case of the submission of the application for the ESA BIC North Rhine-Westphalia by a natural person (referred further to as “data subject” for the purpose of the EU General Data Protection Regulation- GDPR), the data subject gives by his or her signature beneath this statement, free, specific, informed and unambiguous consent to AZO & EurA AG (ESA BIC NRW) with the controlling and the processing of his or her personal data in relation to this Permanent Open Call and for the purpose of possible selection for the ESA BIC North Rhine-Westphalia upon fulfilment of all requirements set in the Permanent Open Call. Such consent to the controlling and the processing of his or her data shall be permitted only for the duration of 1 (one) year upon signature. Agreement to the controlling and processing of personal data is necessary in order to fully evaluate his or her application to the Permanent Open Call for the ESA BIC North-Rhine Westphalia and, if successful, in order to enter into contractual agreement as stipulated in the Permanent Open Call.

The data subject shall have the right to information and the right of access to his or her personal data, right to rectification and erasure (right to be forgotten), right to restriction of processing, right to data portability, right to object, right not to be subject to a decision based solely on automated processing. The particularities of these rights are stipulated in the Regulation (EU) 2016/679 of the European Parliament and the Council.

The data subject shall have the right to withdraw his or her consent at any time. Such withdrawal of consent shall not affect the lawfulness of processing based on consent before its withdrawal. It shall be as easy to withdraw as to give consent.

The data subject has moreover the right to lodge a complaint with a supervisory authority established in each of the EU Member State as indicated in the GDPR.

I hereby give full consent to the above statement.

**Name(s) and Signature(s):[[2]](#footnote-2) Date:**

# Attachments

# Resubmission Summary

Writing Tips

[Resubmissions are permitted, but please note the requirement that a resubmission must fully take account of any previous feedback given to the applicant.

If this is a resubmission, include in this section the feedback previously received, and show how you have addressed it with a summary of the changes made since the previous application. This will be assessed by the Tender Opening Board in deciding whether or not the resubmission is admissible.]

Text

1. Both documents are available from the ESA BIC NRW as part of the application package. [↑](#footnote-ref-1)
2. Please have the form signed by all natural persons whose personal data is provided as part of the application. [↑](#footnote-ref-2)